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| FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office # 18 | Docket No. (Optional) UCSD1140-1 | Serial No.: 09/889,251 | RECEIVED APR 03 2002 TECH CENTER 1600/2800 |
| | Applicant(s): Robert K. Naviaux | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Filing Date: July 13, 2001 | Group Art Unit: 1614 | |

U.S. PATENT DOCUMENTS

| EXAM. INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|----------------|----|--------------------------|-----------|-------------|-------|-----------|-------------|
| PS | AA | US 2001/0016576 A1 | 8/23/2001 | von Borstel | 514 | 44 | |
| PS | AB | US 2001/0005719 A1 | 6/28/2001 | von Borstel | 514 | 49 | |
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| EXAM. INITIALS | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION (YES/NO) |
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| PS | AC | WO 00/11952 | 3/9/2000 | PCT | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

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| AD | Pro-Neuron, Inc. v. The Regents of the University of California, Case No. GIC-769430 "Complaint for (1) Breach of Contract; (2) Breach of Implied Covenant of Good Faith and Fair Dealing; (3) Specific Performance; (4) Injunctive Relief; (5) Intentional Interference with Contractual Relations; and (6) Declaratory Relief" June 21, 2001, 26 Pages: |
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| EXAMINER Phyllis Spivack | DATE CONSIDERED 7/15/03 |
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| AE | Pro-Neuron, Inc. v. The Regents of the University of California, Case No. GIC 769430 "Defendant The Regents of the University of California's Answer to Complaint" August 29, 2001, 8 pages. |
| AF | Pro-Neuron, Inc. v. The Regents of the University of California, Case No. GIC 769430 "Cross-Complaint of Defendant The Regents of the University of California for: (1) Fraud; (2) Constructive Trust; (3) Unjust Enrichment; and (4) Declaratory Relief" August 29, 2001, 14 pages. |
| AG | Pro-Neuron, Inc. v. The Regents of the University of California, Case No. GIC 769430 "Answer of Plaintiff Pro-Neuron, Inc. to Cross-Complaint of Defendant The Regents of the University of California" October 12, 2001, 6 pages. |
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